

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Paul Dagum and Thomas A. Chavez
Assignee: Rapt, Inc.
Title: METHOD AND APPARATUS FOR OPTIMIZING A
MULTIVARIATE ALLOCATION OF RESOURCES
Application No.: 09/491,461 Filing Date: January 26, 2000
Examiner: Beth Van Doren Group Art Unit: 3623
Docket No.: RAP0001P1US Confirmation No.: 8555

Austin, Texas
October 26, 2006

Mail Stop 16
Director of the U.S. Patent and Trademark Office
P. O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR REFUND

Dear Sir or Madam:

Applicants respectfully request a refund in the amount of \$395.00, which was erroneously authorized as a charge in a recently filed Request for Continued Examination.

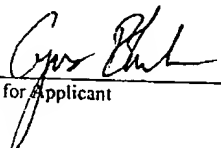
At the time of filing the RCE, Applicant's representative mistakenly authorized the Director of Patents and Trademarks to charge a deposit account (No. 502306) in the amount of \$790.00 for the RCE (see enclosed copy of Transmittal Letter and RCE as filed on October 12, 2006).

However, applicant is a SMALL entity and authorization to charge large entity fees were mistakenly given.

PATENT

Applicants therefore request a refund in the amount of \$395.00, which is the difference between \$790.00 (the incorrectly authorized RCE filing fee) and \$395.00 (the correct small entity RCE filing fee).

If there are any questions regarding this request, please call the undersigned Applicant's attorney at (512) 439-5097. This request is submitted in duplicate.

| | |
|--|--|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop 16, Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on <u>October 26, 2006</u> . | |
|  _____ Attorney for Applicant | <u>2006 Oct 26</u> _____ Date of Signature |

Respectfully submitted,



Cyrus F. Bharucha
Attorney for Applicant
Reg. No. 42,324
Telephone: (512) 439-5097
Facsimile: (512) 439-5099



CAMPBELL
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ASCOLESE LLP

COPY

4807 Spicewood Springs Road
Building 4, Suite 201
Austin, Texas 78759
T: 512-439-5080
F: 512-439-5099

October 12, 2006

Mail Stop RCE
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Re: Inventors: Paul Dagum; Thomas A. Chavez
Assignee: Rapt, Inc.
Title: METHOD AND APPARATUS FOR OPTIMIZING A
MULTIVARIATE ALLOCATION OF RESOURCES
Application No.: 09/491,461
Filing Date: January 26, 2000
Group Art Unit: 3623
Examiner: Beth Van Doren
Attorney Docket No.: RAP0001PIUS

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Return Receipt Postcard
- (2) This Transmittal Letter [1 page, in duplicate]
- (3) Request for Continued Examination (RCE) [1 page, in duplicate]
- (4) Amendment and Remarks Accompanying RCE [13 pages]



No additional fee is required.



The fee has been calculated as shown below:

CLAIMS AS AMENDED

| | Claims Remaining After Amendment | | Highest No. Previously Paid For | | Present Extra | Rate | Additional Fee |
|-----------------------|-------------------------------------|-------|---------------------------------------|---|------------------|------------|-------------------|
| Total Claims | 21 | Minus | 21 | = | 0 | x \$50.00 | \$ 0.00 |
| Independent Claims | 3 | Minus | 3 | = | 0 | x \$200.00 | \$ 0.00 |



Fee of _____ for the first filing of one or more multiple
dependent claims per application

\$



Fee for Request for Continued Examination (RCE)

\$

~~790.00~~



Fee for Petition for Extension of Time

\$

Total additional fee for this Amendment:

\$

~~790.00~~



Conditional Petition for Extension of Time: If an extension of time is required for timely
filing of the enclosed document(s) after all papers filed with this transmittal have been
considered, an extension of time is hereby requested.



Please charge our Deposit Account No. 502306 in the amount of _____

\$

~~790.00~~



Also, charge any additional fees required and credit any overpayment to our Deposit
Account No. 502306.

Total:

\$

~~790.00~~

Respectfully submitted,

Cyrus F. Bharucha
Cyrus F. Bharucha
Attorney for Applicant
Reg. No. 42,324

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I hereby certify that this correspondence is being deposited with the United
States Postal Service as First Class Mail in an envelope addressed to: Mail
Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria,
Virginia, 22313-1450, on October 12, 2006.

Cyrus F. Bharucha
Attorney for Applicant

2006 Oct 12
Date of Signature

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Assignee: Rapt, Inc.
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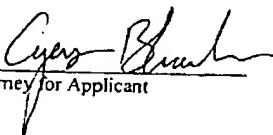
REQUEST FOR CONTINUED EXAMINATION (RCE)

Dear Sir:

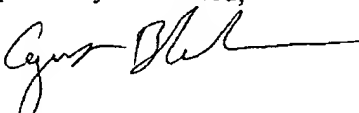
This is a Request for Continued Examination (RCE) of the above-identified application. Please consider and enter the Amendment which is being submitted with this request. The RCE fee required under 37 C.F.R. § 1.17(e) is authorized in an accompanying transmittal letter.

Applicants respectfully request issuance of a Notice of Allowance in light of the Amendment which accompanies this RCE. Please contact the undersigned attorney with any questions concerning this request or the above-identified patent application.

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Attorney for Applicant
2006 Oct 12
Date of Signature

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Cyrus F. Bharucha
Attorney for Applicant
Reg. No. 42,324
Telephone: (512) 439-5097
Facsimile: (512) 439-5099

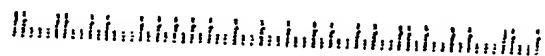
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Austin, TX 78759

OCT 20 2006



Mail Stop RCE
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450



Inventors: Paul Dagum; Thomas A. Chavez
Assignee: RAPT, INC.
Title: METHOD AND APPARATUS FOR OPTIMIZING A
MULTIVARIATE ALLOCATION OF RESOURCES

Application No.: 09/491,461
Filed: January 26, 2000
Attorney Docket No.: RAP0001PIUS

ENCLOSED:

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CFB/rdd
Date Mailed: October 12, 2006

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Cyrus F. Bharucha
Attorney for Applicant

2006 Oct 12
Date of Signature

Respectfully submitted,

Cyrus F. Bharucha
Cyrus F. Bharucha
Attorney for Applicant
Reg. No. 42,324
Telephone: (512) 439-5097
Facsimile: (512) 439-5099

10/17/2006 CNGUYEN 00000052 502306 09491461
01 FC:1801 790.00 DA

Adjustment Date: 11/07/2006 SDIRETAE
10/17/2006 CNGUYEN 00000052 502306 09491461
01 FC:1801 790.00 CR

11/07/2006 SDIRETAE 00000001 502306 09491461
01 FC:2801 395.00 DA